

FORM PTO-1390 (Modified)
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

2003JP308

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
To Be Assigned **10/553095**

INTERNATIONAL APPLICATION NO.
PCT/JP2004/004078INTERNATIONAL FILING DATE
March 24, 2004PRIORITY DATE CLAIMED
May 1, 2003

TITLE OF INVENTION

**COATING COMPOSITION, POROUS SILICA-BASED FILM, METHOD FOR PRODUCING
POROUS SILICA-BASED FILM AND SEMICONDUCTOR DEVICE**

APPLICANT(S) FOR DO/EO/US

Tomoko AOKI, Hiroyuki AOKI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. A copy of the International Search Report (PCT/ISA/210).
8. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
9. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 18 below concern document(s) or information included:

13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. A **FIRST** preliminary amendment.
A **SECOND** or **SUBSEQUENT** preliminary amendment.
16. A substitute specification.
17. A change of power of attorney and/or address letter.
18. Certificate of Mailing by Express Mail **EV 689560892 US**
19. Other items or information:

Form PCT/IB/306

Form PCT/IB/306

Form PCT/IB/306

Form PCT/IB/306

Form PCT/IB/308

WO 2004/096934 A1

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR To Be Assigned 107553095	INTERNATIONAL APPLICATION NO. PCT/JP2004/004078	ATTORNEY'S DOCKET NUMBER 2003JP308																				
20. The following fees are submitted:		CALCULATIONS PTO USE ONLY																				
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Search Report has been prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00 <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00																						
		\$1,000.00																				
ENTER APPROPRIATE BASIC FEE AMOUNT = Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30		\$0.00																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%;">CLAIMS</th> <th style="width: 25%;">NUMBER FILED</th> <th style="width: 25%;">NUMBER EXTRA</th> <th style="width: 25%;">RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>12 - 20 =</td> <td>0</td> <td>x \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$78.00</td> </tr> <tr> <td colspan="2">Multiple Dependent Claims (check if applicable).</td> <td style="text-align: center;"><input type="checkbox"/></td> <td style="text-align: center;">\$0.00</td> </tr> <tr> <td colspan="2" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS</td> <td style="text-align: right;">=</td> <td style="text-align: right;">\$1,000.00</td> </tr> </tbody> </table>		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	12 - 20 =	0	x \$18.00	Independent claims	1 - 3 =	0	x \$78.00	Multiple Dependent Claims (check if applicable).		<input type="checkbox"/>	\$0.00	TOTAL OF ABOVE CALCULATIONS		=	\$1,000.00	
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TOTAL OF ABOVE CALCULATIONS		=	\$1,000.00																			
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).		<input type="checkbox"/> \$0.00																				
		SUBTOTAL = \$1,000.00																				
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)).		<input type="checkbox"/> 20 <input type="checkbox"/> 30 + \$0.00																				
		TOTAL NATIONAL FEE = \$1,000.00																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).		<input type="checkbox"/> \$0.00																				
		TOTAL FEES ENCLOSED = \$1,000.00																				
		Amount to be: refunded \$ charged \$																				
<input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 50-3309 in the amount of \$1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 50-3309 A duplicate copy of this sheet is enclosed.																						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.																						
SEND ALL CORRESPONDENCE TO: <div style="border: 1px solid black; padding: 5px; margin-bottom: 10px;"> ALAN P. KASS AZ ELECTRONIC MATERIALS USA CORP. 70 MEISTER AVENUE SOMERVILLE, NJ 08876 </div> Customer No. 26,289																						
 SIGNATURE <hr/> ALAN P. KASS NAME <hr/> 32,142 REGISTRATION NUMBER <hr/> October 13, 2005 DATE																						

10/553095

URGENT Docket No. OCT 2005

2003JP308

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Tomoko AOKI, Hiroyuki AOKI

Serial No. To Be Assigned	Filing Date October 13, 2005	Examiner To Be Assigned	Group Art Unit To Be Assigned
Invention: COATING COMPOSITION, POROUS SILICA-BASED FILM, METHOD FOR PRODUCING POROUS SILICA-BASED FILM AND SEMICONDUCTOR DEVICE			

I hereby certify that this Transmittal Letter - 2 Pages (in duplicate)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.
 20231-0001 on October 13, 2005

*(Date)*MARIA T. SANCHEZ*(Typed or Printed Name of Person Mailing Correspondence)*

*(Signature of Person Mailing Correspondence)*EV 689560892 US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

10/553095
JC09 Rec'd PCT/PTO 13 OCT 2005

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I hereby certify that this Patent Application (Description, Claims, and Abstract) - 30 Pages
(Identify type of correspondence)

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(Date)

MARIA T. SANCHEZ

(Typed or Printed Name of Person Mailing Correspondence)


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